

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

CORRECTED VERSION

(19) World Intellectual Property Organization
International Bureau(43) International Publication Date
24 February 2000 (24.02.2000)

PCT

(10) International Publication Number
WO 00/09668 A1(51) International Patent Classification⁶: C12N 5/08,
15/85, 15/86, 15/87

Mall, Vancouver, British Columbia V6T 1Z3 (CA). UNIVERSITY OF PENNSYLVANIA [US/US]; 3700 Market Street, Philadelphia, PA 19104 (US).

(21) International Application Number: PCT/US99/17801

(22) International Filing Date: 5 August 1999 (05.08.1999)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
09/133,873 14 August 1998 (14.08.1998) US

(72) Inventors: SNYDER, Evan, Y.; 22 Hillcroft Road, Jamaica Plain, MA 02310 (US). WOLFE, John, H.; 197 Lynnebrook Lane, Philadelphia, PA 19118 (US). KIM, Seung, U.; 4693 West 5th Avenue, Vancouver, British Columbia V6T 2B5 (CA).

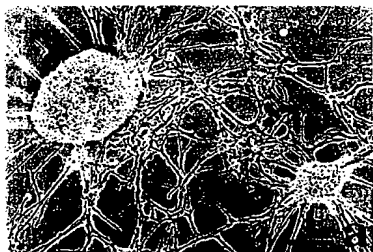
(74) Agent: PRASHKER, David; David Prashker, P.C., 8 Chateau Heights, Magnolia, MA 01930 (US).

(71) Applicants: THE CHILDREN'S MEDICAL CENTER CORPORATION [US/US]; 300 Longwood Avenue, Boston, MA 02115 (US). UNIVERSITY OF BRITISH COLUMBIA [CA/CA]; 2194 Health Science Center

(81) Designated States (*national*): AU, CA, JP.(84) Designated States (*regional*): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

[Continued on next page]

(54) Title: ENGRAFTABLE HUMAN NEURAL STEM CELLS



(57) Abstract: Stable clones of neural stem cells (NSCs) have been isolated from human fetal telencephalon. *In vitro*, these self-renewing clones can spontaneously give rise to all three fundamental neural cell types (neurons, oligodendrocytes and astrocytes). Following transplantation into germinal zones of the developing newborn mouse brain, these NSCs, like their rodent counterparts can participate in aspects of normal development. These include migration along well-established migratory pathways to disseminated CNS regions, differentiation into developmentally and regionally appropriate cell types in response to microenvironmental cues and non-disruptive, non-tumorigenic interspersions with host progenitors.



WO 00/09668 A1



Published:

— *With international search report.*

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(48) Date of publication of this corrected version:

1 February 2001

(15) Information about Correction:

see PCT Gazette No. 05/2001 of 1 February 2001, Section II

ENGRAFTABLE HUMAN NEURAL STEM CELLS

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INTRODUCTION

Neural stem cells (NSCs) are postulated to be relatively primordial, uncommitted cells that exist in the developing and even adult nervous system and are responsible for giving rise to the array of more specialized cells of mature CNS¹⁻¹². They are operationally defined by their ability (a) to differentiate into cells of all neural lineages (neurons -- ideally of multiple subtypes, oligodendroglia, astroglia) in multiple regional and developmental contexts (i.e., be multipotent); (b) to self-renew (i.e., also give rise to new NSCs with similar potential); (c) to populate developing and/or degenerating CNS regions. An unambiguous demonstration of monoclonal derivation is obligatory to the definition -- i.e., a single cell must possess these attributes. With the earliest recognition that rodent neural cells with stem cell properties, propagated in culture, could be reimplanted into mammalian brain where they could reintegrate appropriately and stably express foreign genes¹³⁻¹⁶, gene therapists and restorative neurobiologists began to speculate how such a phenomenon might be harnessed for therapeutic advantage as well as for understanding developmental mechanisms. These, and the studies which they spawned (reviewed elsewhere^{2,9-11,17-21}) provided hope that the use of NSCs -- by virtue of their inherent biology -- might circumvent some of the limitations of presently available graft material and gene transfer vehicles and make feasible a variety of novel therapeutic strategies²⁰⁻²².

Neural cells with stem cell properties have been isolated from the embryonic, neonatal, and adult rodent CNS and propagated *in vitro* by a variety of equally effective and safe means -- both epigenetic (e.g., with mitogens such as epidermal growth factor [EGF] or basic fibroblast growth factor [bFGF]^{1,5,16,23-27} or with membrane substrates⁷) and genetic (e.g., with propagating genes such as *vmyc* or SV40 large T-antigen^{1,9-15,17-19,28-32}). Maintaining such NSCs in a proliferative state in culture does not appear to subvert their ability to respond to normal

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developmental cues *in vivo* following transplantation -- to withdraw from the cell cycle, interact with host cells, differentiate appropriately^{9-16,29-33}. These extremely plastic cells migrate and differentiate in a temporally and regionally appropriate manner particularly following implantation into germinal zones throughout the brain. They participate in normal development along the murine neuraxis, intermingling non-disruptively with endogenous progenitors, responding similarly to local microenvironmental cues for their phenotypic determination and appropriately differentiating into diverse neuronal and glial cell types. In addition, they can express foreign genes (both reporter genes and therapeutic genes) *in vivo*^{9-21,29-32}, and are capable of specific neural cell replacement in the setting of absence or degeneration of neurons and/or glia^{9,11,31,32}.

SUMMARY OF THE INVENTION

This paper affirms the potential of clones of human NSCs to perform these critical functions *in vitro* and *in vivo*. We show them to be multipotent, self-renewing, engraftable, plastic, and migratory; to secrete gene products that can cross-correct a prototypical neurodegenerative genetic enzymatic defect (a necessary precursor for gene therapy of such disease); to pursue *in vivo* (following transplantation into various germinal zones) developmental programs appropriate to a given region and stage (even if different from that from which the cells were initially obtained); to be capable of *ex vivo* genetic manipulation -- e.g., retroviral-mediated transduction of a foreign gene -- and to be capable, following transplantation, of expressing that transgene *in vivo* in widely disseminated CNS regions (further establishing gene delivery/therapy potential); and to be capable of differentiating towards replacement of specific deficient neuronal populations in a prototypic mouse mutant model of neurodegeneration and impaired development (suggesting a potential for therapeutic CNS cell replacement). Comparison is also made between the capabilities of human NSCs propagated by either epigenetic (with bFGF) or genetic (via a constitutively downregulated *vmyc*) means; the findings suggest that these two common means of propagation are equally effective

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and safe (inferring that investigators may freely choose the technique that best fits their research or clinical demands).

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DETAILED DESCRIPTION OF THE INVENTION

We present evidence that neural cells with rigorously defined stem cell features, may, indeed, be isolated from the human brain and may emulate the behavior of NSCs in lower mammals. Not only do these observations vouchsafe conservation of certain neurodevelopmental principles to the human CNS, but they suggest that this class of neural cells may ultimately be applied as well to research and clinical problems in the human. Indeed, not only might the actual human NSC clones described in this report serve that function, but our data suggest that other investigators may readily obtain and propagate such cells from other sources of human material through a variety of equally safe and effective methods (both epigenetic and genetic) with the expectation that such cells will fulfill the demands of multiple research and/or therapeutic problems.

The growing interest in the potential of NSCs has been analogous to that in hematopoietic stem cells. This interest derives from the realization that NSCs are not simply a substitute for fetal tissue in transplantation paradigms or simply another vehicle for gene delivery. Their basic biology, at least as revealed through the examination of cells, appears to endow them with a potential that other vehicles for gene therapy and repair may not possess. For example, that they may integrate into neural structures after transplantation may allow for the regulated release of various gene products as well as for literal cell replacement. (While presently available gene transfer vectors usually depend on relaying new genetic information through established neural circuits, which may, in fact, have degenerated and require replacement, NSCs may participate in the reconstitution of these pathways.) The replacement of enzymes and of cells may not only be targeted to specific, anatomically circumscribed regions of CNS, but also, if desired, to larger areas of the CNS in a widespread manner by simple implantation into germinal zones. This ability is important because many neurologic diseases are not localized to specific

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sites as is Parkinson's disease. Rather their neuropathology is often extensive, multifocal, or even global (e.g., the lesions present in various traumatic, immunologic, infectious, ischemic, genetic, metabolic, or neurodegenerative processes). These are therapeutic challenges conventionally regarded as beyond
5 the purview of neural transplantation. NSCs, therefore, have helped to broaden the paradigmatic scope of transplantation and gene therapy in the CNS. NSCs pass readily and unimpeded through the blood-brain barrier and deliver their foreign gene products immediately, directly, and, if necessary, in a disseminated fashion to the CNS. In addition, NSCs may be responsive to neurodegeneration, shifting
10 their differentiation to compensate for deficient cell types. The biology underlying these properties may not only be of practical value but might illuminate fundamental developmental mechanisms.

To summarize our results, clones of human NSCs -- unambiguously affirmed by the presence of a common retroviral insertion site and propagated by
15 either epigenetic or genetic means -- can participate in normal CNS development *in vivo* and respond to normal microenvironmental cues, including migration from various germinal zones along well-established migratory routes to widely disseminated regions. A single NSC is capable of giving rise to progeny in all 3 fundamental neural lineages -- neurons (of various types), oligodendroglia, and
20 astroglia (hence, multipotency) -- as well as giving rise to new NSCs with similar potential (i.e., self-renewal). *In vivo*, following transplantation into mouse hosts, a given human NSC clone is sufficiently plastic to differentiate into neural cells of region- and developmental stage-appropriate lineages along the length of the neural axis: into neurons where neurogenesis normally persists, and into glia where
25 gliogenesis predominates, emulating patterns well-established for endogenous murine progenitors, with which they intermingle seamlessly. Thus, for example, they will give rise to neurons following migration into the OB at one end of the neuraxis and into granule neurons in the cerebellum at the other, yet also yield astroglia and oligodendroglia, the appropriate cell types born in the postnatal
30 neocortex, subcortical white matter, and striatum. Of additional significance, as might be expected of a true stem cell, we could demonstrate that many of the neuronal types into which these NSCs could differentiate, are born not at the

developmental stage from which the cells were initially obtained (e.g., midgestation), but rather at the stage and region of NSC implantation, thus affirming appropriate temporal (in addition to regional) developmental responsiveness.

5 Interestingly, the most robust differentiation was ultimately not achieved in the culture dish where cells could maintain a more undifferentiated appearance for prolonged periods, but in the transplanted brain, where they rapidly pursued differentiated phenotypes. This conclusion is also supported by the observation that, for the *in vitro* experiments, triggering astrogliogenesis (the last cell type
10 typically born in the developing brain) required the presence of co-cultured primary CNS cells (presumably recreating the "milieu") whereas simple implantation of the NSCs into the *in vivo* environment was sufficient in the transplant experiments.

 Such abundant, genetically-homogeneous, manipulatable cells clearly represent a valuable model for studying human NSC biology *in vivo* and *in vitro*.
15 In this paper, we have demonstrated that human NSC clones possess the capabilities that might lead one to expect them to be effective in true clinician situations.

 We demonstrate the ability of these cells, in their widely disseminated locations (from even a single, simple implantation procedure) to express a
20 retrovirally-transduced foreign gene (*lacZ*), providing promise for future therapeutic gene transfer strategies. That gene products delivered by these human NSCs might be expected to cross-correct dysfunctional neural cells of all types was suggested by our experiments demonstrating the successful delivery of an index therapeutic protein (hexosaminidase) to a prototypical model of neural cells
25 deficient (via targeted mutagenesis) in that specific gene product (Tay-Sachs mouse cells). Tay-Sachs brain cells of neuronal, glial, and even immature neuroepithelial progenitor phenotypes could be effectively rescued by the secretory products of these human NSCs and complement them effectively. Once internalized in the target neural cells, this gene product forestalled pathologic GM₂ accumulation in
30 the majority of mutated cells. This successful molecular cross-correction taken together with the cellular transplantation and *in vivo* migration data help establish the feasibility of human NSC-mediated strategies for the treatment of extensive

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inherited metabolic and other neurogenetic human diseases for which Tay-Sachs is an exemplar.

In summary, NSCs may be propagated by a variety of means (both epigenetic and genetic) that are comparably effective and safe in yielding engraftable, responsive neural cells (and may, in fact, access common final molecular pathways that interact reversibly with cell cycle regulatory proteins). Therefore, insights gained from studies of NSCs perpetuated by one technique may be legitimately joined with insights derived from studies employing others to help provide a more complete picture of NSC biology. Furthermore, in helping to resolve debate in the NSC literature as to which techniques are most effective for isolating and manipulating NSCs, and doing so with cells of human origin, the door is open for investigators and/or clinicians to pick the propagation technique that best serves the demands of their particular research or clinical problem. These may have significant practical implications. It is interesting that propagating NSCs by genetic means (e.g., a *vmyc* construct that is constitutively downregulated by normal developmental mechanisms and environmental cues) appears to be among the safest, easiest, most efficacious, reliable, and cost-effective methods to date for many needs.

20

EXPERIMENTAL PROTOCOL

Maintenance and Propagation of Human NSCs in Culture

A suspension of primary dissociated neural cells (5×10^5 cells/ml), prepared from the telencephalon (particularly the periventricular region) of a 15 week gestational human fetus (detailed elsewhere⁵⁵) was plated on uncoated tissue culture dishes (Corning) in the following growth medium: Dulbecco's Modified Eagles Medium (DMEM) plus F12 medium (1:1) supplemented with N2 medium (Gibco) to which was added bFGF (10-20 μ g/ml) (Calbiochem) + heparin (8 μ g/ml) and/or EGF (10-20 μ g/ml). Medium was changed every 5 days. Cell aggregates were dissociated when > 10 cell diameters in size as follows: rinsed twice with Hank's

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balanced salt solution and Hepes buffer, placed in trypsin-EDTA (0.05%) for 15 min. at 37°C, triturated in soybean trypsin inhibitor, pelleted by gentle centrifugation, and replated in growth medium as above at 5×10^5 cells/ml.

5 **Differentiating Culture Conditions**

Dissociated NSCs were plated on poly-l-lysine (PLL)-coated, 8-well chamber slides (Nunc) in DMEM + 10% fetal bovine serum (FBS) (Gibco) and processed weekly (up to 4 wks *in vitro*) for ICC. In most cases, differentiation occurred spontaneously. For astrocytic maturation (as assessed by immunoreactivity to a human-specific anti-GFAP antibody), human clones were co-cultured with primary dissociated cultures of newborn CD-1 mouse brain.

Retrovirus-Mediated Gene Transfer into Human NSCs

Two different xenotropic, replication-incompetent retroviral vectors were used to infect human NSCs. A retroviral vector encoding *lacZ* transcribed from the viral long terminal repeat (LTR) plus *neo* transcribed from an internal SV40 early promoter was similar to the BAG vector¹³ except that it bore a PG13 xenotropic envelope. This vector not only provided a stable, histochemically- and immuno-detectable genetic marker for transplantation experiments but also enabled confirmation of monoclonality through the demonstration of a single, common retroviral insertion site on Southern analysis of cells present in a given colony. An amphotropic replication-incompetent retroviral vector encoding *vmyc* (transcribed from the LTR plus *neo* transcribed from an internal SV40 early promoter) not only permitted the propagation of human NSC clones by genetic means, but also enabled confirmation of the monoclonal origin of all progeny, as described above. This amphotropic vector was generated using the ecotropic retroviral vector encoding *vmyc* (as described for generating the murine NSC clone C17.2^{13,28}) to infect the GP+envAM12 amphotropic packaging line⁵⁴. Successful infectants were selected and expanded. Supernatants from these new producer cells contained replication-incompetent retroviral particles bearing an amphotropic envelope at a titer of 4×10^5 CFUs which efficiently infected the human neural cells as indicated by G418-resistance. No helper or replication-competent recombinant viral particles were

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produced. Infection of bFGF- and/or EGF-maintained human neural cells with either vector followed similar procedures: 3ml of supernatant (4×10^5 CFUs) from the respective packaging line were added to suspensions of target cells in 2ml growth medium + polybrene ($8 \mu\text{g/ml}$) and incubated for 4 hrs at 37°C ; the medium was then replaced with fresh growth medium; infection was repeated 24 hrs later; 72 hrs following the second infection, infected cells were selected with G418 ($0.3\text{--}1.0 \text{ mg/ml}$) for 10 days and individual clones were generated by limiting dilution and propagation as described below. Monoclonality in all cases was confirmed by identifying a single genomic insertion site on Southern analysis for either the *lacZ*- or the *vmyc*-encoding virus as described below.

Cloning of Human NSCs

Cells were dissociated as above, diluted to a concentration of 1 cell/ $15 \mu\text{l}$ in growth medium and plated at $15 \mu\text{l/well}$ of a Terasaki dish. Wells with single cells were noted immediately after plating. Single cell clones were expanded and maintained in bFGF-containing growth medium. Because a retrovirus integrates once randomly into the genome of a host cell with each infection event, its insertion site serves as a unique molecular tag; all progeny of that cell will also bear that and only that tag. Monoclonality in all cases was, therefore, then confirmed by identifying in all progeny a single and identical genomic insertion site on Southern analysis for either the *lacZ*- or the *vmyc*-encoding virus. Hybridization to a radiolabeled probe complementary to unique viral sequences yields 1 band at a given molecular weight per retroviral integration site. Probes were generated to either *vmyc* or to the *neo* portion of the *lacZ*-encoding vector as appropriate to the clone. The *vmyc* probe was generated by nick translation labeling with ^{32}P dCTP. The *neo* probe was generated by PCR utilizing ^{32}P dCTP. Genomic DNA was isolated from the putative human NSC clones by standard procedures and digested with restriction endonucleases that cut only once within the integrated provirus with remaining cuts only in the flanking regions. For analysis with the *vmyc* probe, DNA was digested with Bgl II or Bam HI; for the *neo* probe, DNA was cut with Bgl II. The remainder of the Southern analysis followed standard procedures. The non-virally infected DaOY human cell line, which

should have no detectable bands, served as a negative control. (To insure the health of clones once generated, particularly when small, 10% FBS and 5% horse serum (HS) were occasionally added to the medium.)

5 **Cryopreserving Human NSC Clones**

Trypsinized human cells were pelleted and resuspended in a freezing solution composed as follows: 10% DMSO (Sigma), 50% FBS, 40% bFGF-containing growth medium. Divided into 1.5ml Nunc vials, the suspension was slowly brought to -140°C for long-term storage. Cells were thawed by placing
10 vials in a 37°C water bath and, following gentle removal from the vial, resuspended and cultured in excess growth medium which was changed initially after 8 hrs. to clear the DMSO.

Cross-Correction of Mutation-Induced β -Hexosaminidase Deficiency

15 Human NSCs were maintained as described above. The murine NSC clones "C17.2" and "C17.2H" (the latter a subclone of C17.2 transduced with a retrovirus encoding the human α -subunit of β -hexosaminidase³⁰), were maintained in similar serum-free conditions. NSCs were co-cultured in a transwell system (as detailed under **Results**) with primary dissociated neural cultures¹³ from the brains
20 of neonatal mice -- either wildtype or α -subunit null (Tay-Sachs) mice³⁹. These primary cultures were prepared under serum-free conditions (trypsin was inactivated with soybean trypsin inhibitor), plated onto PLL-coated 12mm glass coverslips, and maintained in the culture medium described above for NSCs; in one set of control conditions, bFGF+heparin were excluded from the medium; the
25 results were unaffected, however. The presence of hexosaminidase activity was assayed by standard histochemical techniques³⁰: Cells were fixed in 4% paraformaldehyde (PFA), washed in 50mM citrate buffer (pH 4.4), and incubated with NASBG (0.25mM) in 50mM citrate buffer (pH 4.5) for 3 hrs at 40°C. Subsequently, they were exposed to the same substrate in the presence of 1:1000
30 diluted pararosaniline hexasonium salt for 2-3 hrs at 20°C. Hexosaminidase-containing cells stain increasingly pink-red in direct proportion to their level of enzyme activity³⁰. NASBG staining of primary neural cells from dissociated brains

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of wildtype mice served as a positive control for both the intensity of normal staining (identical to that in **Figs. 3B, C**) and the percentage of NASBG+ cells (~100%). Neural cell types in the primary dissociated cultures were identified by ICC for standard neural cell type-specific markers; for neurons, NeuN (1:100); for astrocytes, GFAP (1:500); for oligodendrocytes, CNPase (1:500); for immature, undifferentiated neuroepithelial-derived progenitors, nestin (1:1000). The presence of the α -subunit of human β -hexosaminidase was detected via ICC with a specific antibody³⁰. Cells were assessed for dual immunoreactivity to that antibody and to the neural cell type-specific antibodies in order to assess which types of mutant Tay-Sachs CNS cells had internalized the cross-corrective enzyme from the human NSCs. Intracytoplasmic GM₂ ganglioside was also recognized by a specific antibody³⁹.

Transplantation

NSCs, dissociated as above, were resuspended at 4×10^4 cells/ μ l in phosphate buffered saline (PBS) + 0.045% trypan blue and maintained well-triturated on ice until transplanted. For some transplant paradigms, the lateral cerebral ventricles of cryoanesthetized postnatal day 0 (P0) mice were visualized by transillumination, and a 2μ l cell suspension was injected gently into each ventricle via a pulled glass micropipette as previously described^{29,30}. For EGL transplants, the cerebella of P0 mice were transilluminated and a 2μ l cellular suspension was similarly implanted directly into the EGL of each cerebellar hemisphere and the vermis as previously described^{13,31}. These animals were sacrificed after the completion of cerebellar organogenesis. All transplant recipients and untransplanted controls received daily cyclosporin (10mg/kg IP) (Sandoz) beginning on the day of transplant.

Detection and Characterization of Donor Human NSCs *In Vivo*

Transplanted mice were sacrificed and perfused with 4% PFA (in 0.1M PIPES buffer, pH 6.9) at serial time points: P1, P2, and weekly through 5 weeks of age. Brains were cryosectioned at 20μ m intervals. Donor-derived cells were recognized in a number of ways. Cells which had been transduced with *lacZ* were

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identified by either Xgal histochemistry or by ICC with an anti- β gal antibody as detailed below^{13,32}. All NSCs (even those carrying *lacZ*) were pre-labeled prior to transplantation with BrdU or with the non-diffusible vital red fluorescent membrane dye PHK-26 (Sigma). For BrdU labeling, 20 μ M of the nucleotide analog was
5 added to cultures 48 hrs prior to transplantation; these cells were subsequently identified *in vivo* by an anti-BrdU antibody as described below. PHK-labeled cells, prepared as per the manufacturer's instructions, were detected by fluorescence through a Texas Red (TR) filter. The presence of human donor-derived cells in mouse hosts and the confirmation of the human origin of specific neural cell types
10 *in vivo* was also confirmed through the use of human-specific anti-neural cell type antibodies and through FISH using a probe specific for the human chromosomal centromere. Both procedures are described below. To visualize cellular nuclei of both host and donor cells and to help confirm anatomical location and relationships, some sections were also incubated (for 10 min at 20°C) in the blue fluorescent
15 nuclear label DAPI.

ICC

ICC with human-specific and anti-neural cell type antibodies was performed using standard procedures. Cryosections from engrafted brains were permeabilized
20 in 0.3% Triton X-100 and incubated overnight at 4°C with an anti-human-NF antibody (1:150; Boehringer) or with an anti-human-GFAP antibody (1:200; Sternberger). Immunoreactivity was revealed by a fluorescein-conjugated anti-mouse IgG secondary antibody (1:200; Vector). Sections were also incubated in DAPI to visualize cellular nuclei. When donor-derived cells were to be recognized
25 by the presence of β gal or BrdU, then the phenotypes of these cells were characterized by incubating tissue sections with antibodies to either β gal or BrdU in conjunction with antibodies to neural cell type-specific markers. Sections for β gal immunostaining (1:1000, Capel) were prepared as above. To reveal BrdU-intercalated cells via ICC, tissue sections were prepared somewhat differently.
30 They were rehydrated in PBS, incubated in 2N HCl at 37°C for 30 min, washed twice in 0.1M sodium borate buffer (pH 8.3), washed thrice in PBS, permeabilized in 0.3% Triton X-100, and incubated with an anti-BrdU antibody (1:10;

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Boehringer) at 37°C for 1 hr. Immunoreactivity was revealed with either a fluorescein-conjugated (1:250; Jackson) or a biotinylated (1:200; Vector) secondary antibody. The neural cell type-specific antibodies employed were as follows: anti-NF (1:250; Sternberger) and anti-NeuN (1:20; gift of R. Mullen) to identify
5 neurons; anti-CNPase (1:200-1:500; Sternberger) to identify oligodendrocytes; anti-GFAP (1:150; Sigma) to identify astrocytes. Immunostaining for these markers was revealed with a TR-conjugated secondary antibody [1:200; Vector]. *Vmyc* expression (unique to donor-derived cells) was assessed with an antibody to the protein (1:1000; UBI).

FISH for the Human-Specific Centromere

Animals were perfused with 4% PFA + 2% gluteraldehyde. Cryosections of brain were post-fixed in 4% PFA, permeabilized in 0.2% Triton X-100, washed in PBS, incubated in 0.2N HCl for 20 mins., washed again in PBS, and then
15 exposed to proteinase K (100µg/ml) in 0.1M Tris, 0.005M EDTA (pH 8.0) at 37°C for 15 mins. The sections were then washed in 0.1% glycine in PBS for 2 mins. and then in PBS for 5 mins. Sections were post-fixed again in 4% PFA, washed in PBS, and then rinsed with 50% formamide, 2X SSC for 5 mins. A
20 digoxigenin-labeled probe, complementary to regions of the centromere present uniquely and specifically on all human chromosomes (Oncor), was then added to the sections which were coverslipped, sealed with rubber cement, denatured at 100°C for 10 min., and then placed on ice for 5 mins. Sections were hybridized for 15 hrs at 37°C, following which they were washed in 65% formamide, then 2X
25 SSC for 30 mins. at 43°C, and then 2X SSC for 30 mins. at 37°C, and finally PBS for 15 mins. at 20°C. The probe was detected by an anti-digoxigenin TR-conjugated antibody (Boehringer) diluted 1:5 in 0.5% BSA + 5% NHS in PBS (30 mins. at 37°C). Slides were rinsed 3 times in PBS (15 mins./wash), incubated with DAPI, rinsed again in PBS, and mounted for fluorescence microscopy.

30

RESULTS

Isolation, Propagation, and Cloning of Human Neural Stem Cells

The isolation, propagation, characterization, cloning, and transplantation of NSCs from the human CNS followed a "blueprint" propagated following transduction of a constitutively downregulated *vmyc* and growth factor- (especially bFGF-) expanded NSC clones. Based on the observation that NSCs -- even genetically propagated clones³⁴ -- appear to divide in response to bFGF and EGF in serum-free medium^{5,35}, this dual responsiveness was chosen as a method for both screening and for enriching a starting population of dissociated primary human neural tissue for cells that fulfilled this requirement. In addition, the primary neural cells were initially obtained (to the best of our ability) from a region of the CNS postulated to harbor (in lower mammals) a relatively rich population of NSCs, the ventricular zone (VZ) of the fetal telencephalon^{1,7,8,23}.

Dissociated neural cells from a 15 week human fetal brain were initially grown as a polyclonal population in serum-free medium containing bFGF and/or EGF. The cultured cells were often transferred between media containing one or the other of the two mitogens in order to establish and select for dual responsiveness. Some populations were then maintained in bFGF alone for subsequent manipulation and cloning as described below; others were used for retrovirally-mediated transduction of *vmyc* and subsequent cloning, also as described below.

In order to provide an unambiguous molecular tag for assessing the clonal relationships of the cells, as well as to facilitate identification of some cells following transplantation and to assess their capacity to express exogenous genes *in vivo*, some bFGF-propagated subpopulations were infected with an amphotropic replication-incompetent retroviral vector encoding both *lacZ* (the gene for E. coli β -galactosidase [β gal] and *neo* (the gene for neomycin resistance). Infected cells were selected for survival in G418 (a neomycin analog). Single colonies were initially isolated by limiting dilution; monoclonality of the cells in a given colony was then confirmed by demonstrating that only one copy of the *lacZ/neo*-encoding retrovirus, with a unique chromosomal insertion site, was present. Genomic DNA

from individual colonies, digested with restriction endonucleases that cleaved once in the integrated provirus, were subjected to Southern analysis with a radiolabeled probe complementary to *neo*. In clone H1, for example, all *lacZ/neo*+ cells, indeed, had a single, common retroviral integration site indicating that they were derived from a single infected "parent" cell [Fig. 1A].

Some populations, enriched for human NSCs as described above, were transduced with *vmyc*, yielding multiple stable clones. Specifically, bFGF-maintained cells were infected with an amphotropic, replication-incompetent retroviral vector encoding *vmyc* and *neo*²⁸. Individual, G418-resistant colonies were isolated and maintained as separate cultures. Again, monoclonality of each colony was affirmed by demonstrating that each putative clone had only one unique retroviral insertion site [Fig. 1B]. All *vmyc*-transduced human cell colonies (as well as the established murine NSC clone C17.2, included as a positive control) produced single bands of the same molecular weight following endonuclease bisection of the provirus and hybridization to a *vmyc* probe. Thus, as was the case for the epigenetically-maintained cells, all the *vmyc* transduced colonies were monoclonal. Five clones (H6, H9, D10, C2, E11) were generated by this procedure and were maintained in serum-free medium containing bFGF.

To be classified as a NSC, a given cell must yield progeny in all neural lineages and give rise to other single cells that can do so; therefore, it was imperative to affirm unambiguously that all cells in a given colony were members of the same clone. Having met this obligate criterion, additional characterization of clones could proceed.

In Vitro Characterization of Clones

Multipotency and Self-Renewal

In uncoated dishes and in serum-free medium supplemented with bFGF, all clones grew in culture as clusters that could be passaged weekly for at least 1 year [Fig. 2A]. The cells within these clusters expressed the intermediate filament vimentin, a frequently used and reliable marker for immature multipotent neural progenitors²⁵. By dissociating these clusters and plating them in serum-containing medium, these clones differentiated spontaneously into neurons and

oligodendrocytes [Figs. 2B, D], a technique previously used for differentiating bFGF-propagated rodent NSCs and progenitors^{16,25,37}. After 5 days under these differentiating conditions, a majority of the cells (90%) in all clones became immunoreactive for the neuronal marker neurofilament (NF) [Fig. 2B], while a smaller proportion (10%) expressed CNPase, a marker for oligodendroglia [Fig. 2C]. Mature astrocytes expressing glial fibrillary acidic protein (GFAP) were not initially appreciated under these culture conditions, even after one month *in vitro*. However, GFAP expression could be induced in these human clones by co-culture with primary dissociated embryonic murine CNS tissue [Fig. 2D]. In addition to cells expressing the variety of differentiated lineage-specific markers noted above (establishing "multipotency"), each clone also gave rise to new immature vimentin+ cells [Fig. 2E] which could, in turn, on subsequent passages, give rise to new cells expressing multiple differentiated neural markers as well as to new vimentin+ passageable cells (i.e., "self-renewability").

These results affirmed that all of the clones to be studied *in vitro* and *in vivo* following transplantation fulfilled the operational definition of NSCs: stable, self-renewing, multipotent vimentin+ clones derived from a single cell with the capacity for differentiating into progeny in all 3 fundamental neural lineages. All the clones, whether genetically-modified or epigenetically-maintained, were remarkably similar in their behaviors and phenotypes *in vitro*. To continue this comparison, these various clones were used in parallel in the *in vivo* transplantation experiments described below.

Storage

Of note, all clones could be efficiently cryopreserved (detailed under **Experimental Protocols**) with minimal adverse effects on cell viability and no discernible effect on proliferation or differentiation upon thawing.

Ability to Complement and Cross-Correct a Genetic Defect

To help assess their potential as vehicles for molecular therapies, we next evaluated, under well-controlled and observable conditions, the ability of human NSCs to complement and cross-correct a prototypical genetic, metabolic defect

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with the same efficiency as murine NSCs^{30,29,38}. The index genetic defect chosen was the absence of the α -subunit of β -hexosaminidase, the mutation responsible in humans for the untreatable and inexorably neurodegenerative lysosomal storage disease, Tay-Sachs. Absence of the α -subunit leads to a deficiency in

5 hexosaminidase A which is required to metabolize GM₂ ganglioside to GM₃. Pathologic GM₂ accumulation in the brain leads to the progressive neurodegeneration characteristics of the disease. The ability of human NSCs to effect cross-correction was compared with the following two well-established murine NSCs: "clone C17.2" and a subclone of C17.2 (called "clone C17.2H")

10 engineered via retroviral transduction of the α -subunit to overexpress hexosaminidase³⁰. Previous studies using these murine NSC clones established that they both synthesized and secreted significant amounts of functional hexosaminidase A which would be endocytosed by fibroblasts from human Tay-Sachs patients³⁰. The recent generation of a transgenic mouse in which the gene

15 encoding the α -subunit was specifically mutated³⁹ now permitted an examination of the capacity of human NSCs (as well as the murine NSCs) to cross-correct actual neural cells derived from an animal model of Tay-Sachs. Furthermore, the availability of established, standardized tools for the rapid and reliable detection of the specific gene product, the lysosomal enzyme activity, and the intracellular

20 pathologic phenotype made this model ideal.

To assess their ability to produce a secretable gene product capable of rescuing a mutant neural phenotype, NSCs (murine and human) and control cells were cultured on one side of a membrane with a pore size (0.4 μ m) sufficient to allow passage of hexosaminidase but not of the cells. The membrane was partially

25 immersed in a well on the bottom of which rested a coverslip onto which neural cells dissociated from the brain of a neonatal Tay-Sachs mouse had been plated. After 10 days of co-culture in this transwell system, the coverslips bearing the mutant neural cells were examined as follows: (1) for the presence of hexosaminidase activity, as assayed by the production of a red precipitate following

30 exposure to naphthol-AS-BI-N-acetyl- β -D-glucuronide (NASBG) [Figs. 3A-C, M]; (2) with both an antibody to the α -subunit and antibodies to CNS cell type-specific markers to determine which Tay-Sachs neural cell types had internalized the

corrective gene product [Figs. 3D-L]; and (3) for reduction in GM₂ storage [Fig. 3N].

While there was minimal intrinsic hexosaminidase activity in Tay-Sachs CNS cells cultured alone [Fig. 3A], hexosaminidase activity increased to normal intensity when the cells were co-cultured with murine or human NSCs [Figs. 3B,C]. The extent of human NSC-mediated cross-correction was as successful and efficient as that effected by murine NSCs, yielding percentages of NASBG+ Tay-Sachs CNS cells significantly greater than in untreated controls ($p < 0.01$) [Fig. 3M]. As suggested by Figs. 3D-L, all neural cell types from the Tay-Sachs mouse brain (neurons, glia, progenitors) were corrected. As an indication that this increased enzyme activity favorably impacted the fundamental cellular neuropathologic process, the percentage of Tay-Sachs CNS cells without abnormal intracytoplasmic GM₂ accumulation was significantly lower in those exposed to secretory products from human NSCs than in untreated Tay-Sachs cultures ($p < 0.01$), approaching those from wildtype mouse brain [Fig. 3N].

Therefore, human NSC clones are capable of producing and secreting a functional gene product with sufficient efficiency to be utilized by targeted impaired CNS cells of multiple lineages to correct a genetically-based defect and reduce pathology. These data help establish their validity as potential vehicles for gene therapy.

In Vivo Characterization of Clones

Multipotency, Plasticity, & Pursuit of Developmental Programs *In Vivo*

We next determined whether human NSC clones (whether epigenetically or genetically-propagated) could not only engraft following transplantation into the immature brain, but could also respond appropriately and accommodate to normal developmental cues *in vivo*: migrating appropriately, integrating into host parenchyma, differentiating into neural cell types appropriate to a given region at a given developmental temporal "window" -- even if that window differed from when the NSCs were obtained.

Dissociated cells from the individual human NSC clones were injected bilaterally into the lateral ventricles of newborn mice, allowing them access to the

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SVZ. Prior to transplantation, some human cells were transduced, as detailed above, with the *lacZ* reporter gene. To control for and circumvent the risk of transgene downregulation (with the attendant risk of missed identification of engrafted, donor-derived cells), cells were also prelabeled either by *in vitro* exposure to BrdU 2 days prior to transplantation and/or with the non-diffusible vital fluorescent membrane dye PHK-26 immediately before transplantation. Stably engrafted cells were then detected, as appropriate, by Xgal histochemistry; by immunocytochemistry (ICC) with antibodies directed against BrdU, β gal, human-specific NF and/or human-specific GFAP; by fluorescence in situ hybridization (FISH) using a human-specific pan-chromosomal α -centromeric probe; and/or by PHK fluorescence. For some cells, multiple identification techniques were employed to confirm their donor, human-origin. Cell type identity of donor-derived cells was also established as necessary by dual staining with antibodies directed against neural cell type-specific markers.

Following intraventricular implantation, human NSC clones displayed developmentally-appropriate behavior, emulating that of their murine counterparts [Figs. 4,5]. Furthermore, the engraftment, migration, and differentiation of epigenetically-perpetuated clones was identical to that of genetically-(*vmyc*-)perpetuated clones. (Three of the 5 clones in the latter category engrafted well [Table]; the remaining 2 clones which engrafted poorly will be discussed below.) Both epigenetically- and genetically-maintained donor cells integrated into the SVZ within 48 hours following implantation [Figs. 4A,B; 5A, K-N]. It is known that endogenous, host progenitors derived from the SVZ migrate only dorsally and laterally into the subcortical white matter and cortex over a 28 day period and become astroglia and oligodendroglia; gliogenesis predominates in those regions at that stage while neurogenesis has ceased⁴⁴. In an analogous manner, engrafted human NSCs also migrated out extensively along the subcortical white matter by 2 weeks following engraftment [Fig. 4C], and, by 3-5 weeks, had appropriately differentiated into oligodendrocytes and astrocytes [Figs. 5H-J]. Interestingly, the ready detection of donor-derived astrocytes *in vivo* [Fig. 5J] contrasts with the initial absence of mature astrocytes when human NSC clones were maintained *in vitro* in isolation from the *in vivo* environment (recall that co-culture with primary

mouse CNS was required to induce astrogliogenesis [Fig. 2D]). Instructive signals emanating from other components of the murine CNS appear necessary for triggering or promoting astrocyte differentiation and/or maturation from multipotent cells.

5 The second known fate of endogenous SVZ progenitors is to migrate anteriorly along the RMS and differentiate into OB interneurons. By one week following transplantation, a subpopulation of donor-derived human cells from the SVZ were noted migrating along the RMS [Figs. 4D,E]. In some cases, these cells in the RMS migrated together in small groups [Fig. 4E], a behavior typical
10 for endogenous murine SVZ precursors^{43,44}. Three weeks following transplantation, as per the expected fate of progenitors within the RMS, a subpopulation of donor-derived neurons of human origin (e.g., human-specific-NF+ cells) were present within the parenchyma of the OB, intermingled with host neurons [Figs. 5B-G, which represent high power views of sections through the
15 OB as pictured in Fig 4D]. Not only were these donor-derived cells human-NF+ [Fig. 5D], but, when sections through the OB were reacted with both an antibody against BrdU (to identify pre-labeled donor-derived human cells) and with an antibody to the mature neuronal marker NeuN, a large number of double-labeled BrdU+/NeuN+ donor-derived cells of human origin were integrated within the
20 granule layer [Figs. 5E-G], mimicking the NeuN expression pattern of endogenous, host, murine interneurons [Figs.5F,G].

 To examine further the degree of plasticity of human NSCs, identical clones were implanted into a different germinal zone at the opposite end of the neuraxis. Transplants of the same human NSCs into the EGLs of newborn mouse cerebella
25 appropriately yielded different neuronal cell types in this different location, primarily cerebellar granule cell interneurons in the IGL [Figs. 6C-I], a result discussed in greater detail in the next section.

 Therefore, *in vivo*, as *in vitro* [Fig. 2], all engraftable human NSC clones can give rise to cells in all 3 fundamental neural lineages -- neurons [e.g., Figs.
30 5D-G; 6]; oligodendrocytes [e.g., Figs. 5H,I]; and astrocytes [e.g., Fig. 5J]. Not only did transplanted brains look histologically normal (donor cells migrated and integrated seamlessly into the host parenchyma yielding no discernible graft

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margins), but engrafted animals exhibited no behavioral abnormalities or other indications of neurologic dysfunction. Thus brain structures that received contributions from donor human NSCs appeared to have developed normally.

5 In these transplant studies, while most clones engrafted quite well, 2 of the 5 genetically-propagated NSC clones appeared to engraft poorly [Table]. Nevertheless, *in vitro* these clones did display characteristics seemingly identical to those of the more robustly engrafting clones. Thus, ostensibly equivalent multipotency *in vitro* does not necessarily translate into equivalent potential *in vivo*, suggesting the need for investigators to test each clone individually in
10 transplantation paradigms. This observation further warns that transplantation of mixed polyclonal populations (the starting material for many transplantation studies presently in the literature^{16,33,41,45,46}) because of their inevitable changing character and shifting representations of various clones, may be a problematic strategy.

15 Foreign Transgene Expression *In Vivo*

Gene therapy paradigms, especially for diseases characterized by extensive, multifocal, or global lesions, require that donor cells be capable of expressing foreign genes in widely disseminated locations if the clinical situation demands (in addition to being able to integrate in anatomically restricted locations^{10,16,32,45,46}).
20 The retrovirally-transduced, *lacZ*-expressing representative clone pictured in Figs. 5A-C (following transplantation into the neonatal mouse cerebral ventricle and SVZ) continued to produce readily detectable β gal after migration to and stable integration and maturation within host parenchyma at distant sites in the mature animal.

25

Spontaneous, Constitutive, Downregulation of *vmyc* Expression

Interestingly and importantly, in the case of genetically-manipulated human NSC clones, the propagating gene product, *vmyc*, is undetectable in donor human cells beyond 24-48 hours following engraftment [Figs. 5K-Q] despite the fact that
30 the brains of transplant recipients contain numerous stably-engrafted, healthy, well-differentiated, non-disruptive, donor-derived cells [Figs. 4; 5A-J,Q; 6]. These observations suggest that *vmyc* is regulated by the normal developmental

mechanisms that downregulate endogenous cellular *myc* in CNS precursors during mitotic arrest and/or differentiation. The loss of *vmyc* expression spontaneously and constitutively from stably engrafted NSCs following transplantation is consistent with the invariant absence of CNS tumors derived from implanted *vmyc*-propagated NSCs, even after several years *in vivo*^{9,13,29-32}. With human NSCs, brain tumors are never seen.

Neural Cell Replacement *In Vivo*

Having established the probable efficacy *in vitro* and *in vivo* of human NSC-mediated foreign gene expression as a basis for gene therapy strategies, we next sought to establish whether such cells could also mediate neural cell replacement. Well-established neurologic mouse mutants have classically provided ideal models for testing specific neural cell replacement paradigms. The *meander tail (mea)* mutant is one such model of neurodegeneration and impaired development. *Mea* is characterized by a cell-autonomous failure of granule neurons to develop and/or survive in the cerebellum, especially in the anterior lobe⁴⁷. Murine NSCs are capable of reconstituting the granule neuron-deficient IGL³¹.

In order to assess their ability to replace neurons in CNS disorders, human NSC clones were engrafted into the EGLs of newborn *mea* mouse cerebella. When analyzed at the completion of cerebellar organogenesis, donor-derived human cells were present throughout the IGL of the cerebella [Fig. 6]. They possessed the definitive size, morphology, and location of cerebellar granule neurons [Figs. 6E-G], identical to the few residual endogenous murine host granule neurons with which they were intermixed [Fig. 6G]. That these "replacement" neurons were of human-origin was confirmed by FISH, demonstrating the presence of cells positive for the above-described human-specific chromosomal probe [Fig. 6J]. That the human-derived cells were, indeed, neuronal was confirmed by demonstrating that most engrafted cells in the *mea* IGL were immunoreactive for NeuN [Figs. 6H,I]; as in the OB, endogenous interneurons in the IGL similarly express NeuN. Thus engrafted NSCs of human origin appear sufficiently plastic to respond appropriately to varying local cues for lineage determination.

TABLE: Human Neural Stem Cell* Clones

	<u>Clone</u>	<u>Propagation Technique</u>	<u>Engraftable</u>
5	H1	bFGF	+
	H6	<i>vmyc</i>	+
	H9	<i>vmyc</i>	+
	C2	<i>vmyc</i>	+
	D10	<i>vmyc</i>	-
10	E11	<i>vmyc</i>	-

* A neural stem cell is defined as a single cell which is capable of giving rise (in vitro and/or in vivo) to neurons (of multiple types), oligodendrocytes, and astrocytes, as well as new neural stem cells. That these progeny are clonally-related to that single cell and to each other is an obligatory part of the definition. Therefore, an unambiguous demonstration of monoclonality is necessary to affirm both multipotency and self-renewal.

FIGURE LEGENDS

FIGURE 1: The monoclonal nature of each putative human neural stem cell (NSC) clone is confirmed by demonstrating a single retroviral insertion site within the genomes of each.

[A] Genomic DNA from the putative human NSC clone H1 (which was propagated by bFGF and subsequently transduced with a retrovirus encoding *lacZ* and *neo*) was digested with Hind III (which cuts only once within the provirus) and incubated with a radiolabeled nucleotide probe complementary to *neo*. Monoclonal derivation is confirmed by the presence of a single integrated retrovirus with an integration site common to all cells in the colony indicating that they were derived from a single infected "parent" cell (arrow). As a positive control, the murine NSC clone C17.2 which contains 2 integrated retroviruses encoding *neo* (one from an integrated *vmyc*-encoding retrovirus and one from a separate *lacZ*-encoding retrovirus^{13,28}) appropriately shows 2 bands (arrows). Specificity of the probe is demonstrated by the negative control, the human meduloblastoma cell line DaOY, which, having not been infected with a retrovirus, shows no *neo* sequences in its genome and hence no hybridization product. **[B]** Genomic DNA from putative clones H9, H6, D10, and C2 (human NSC colonies propagated in bFGF and/or EGF and then subsequently infected with a retrovirus encoding the propagating gene *vmyc*) were digested with Bgl II or Bam HI (each of which cuts only once within the provirus) and then subjected to Southern analysis utilizing a probe complementary to the proviral *vmyc*. Single retroviral integration sites are appreciated in all colonies confirming the monoclonal nature of each putative clone. The murine NSC clone C17.2, which contains a single copy of *vmyc*^{13,28} and serves as a positive control, also has one band. As in [A], the negative control non-virally infected human DaOY cells, have no bands.

FIGURE 2: Characterization of human neural stem cells (NSCs) *in vitro*. **[A]**

NSCs tend to grow as clusters in serum-free bFGF-supplemented medium. They differentiate spontaneously into neurofilament-immunoreactive neurons **[B]** or CNPase-immunoreactive oligodendrocytes **[C]** when transferred to serum-

containing medium, or into GFAP-expressing astrocytes when co-cultured with primary murine CNS cultures (and identified with a human-specific anti-GFAP antibody) as, for example in [D], illustrating a typical type-1 protoplasmic astrocyte. Hence, a single clone has the potential for generating cells of all neural lineages ("multipotency"). New immature, undifferentiated, vimentin-immunoreactive NSCs [E] are present in clones under all conditions, suggesting the ability of a clone to "self-renew" (i.e., produce new multipotent NSCs).

FIGURE 3: Human neural stem cells (NSCs) are capable of complementing a prototypical gene product deficiency (e.g., β -hexosaminidase-A) in neural cells of multiple lineages in which the gene is mutated (e.g., brain cells from Tay-Sachs mice). As a proof of principle that human NSCs (like murine NSCs) are capable of cross-correcting a neurogenetic defect, neural cells from the brains of mice with the prototypical neurogenetic disorder Tay-Sachs disease, generated via targeted mutagenesis of the α -subunit of β -hexosaminidase resulting in absence of hexosaminidase-A³⁹, were exposed to secreted gene products from human NSCs to assess their ability to effect complementation of the defect. [A-C] Hexosaminidase activity as determined by NASBG histochemistry (Nomarski optics). Functional hexosaminidase produces a red-pink precipitate with an intensity proportional to the level of activity. [A] Tay-Sachs neural cells (arrows) not exposed to NSCs have no, or minimal, detectable hexosaminidase. (A small number of faintly pink NASBG+ cells are occasionally observed reflecting low residual hexosaminidase-B activity). In comparison, Tay-Sachs neural cells exposed to secretory products from murine NSCs (e.g., clone C17.2H) [B] or from human NSCs [C] now stain intensely red (wildtype intensity) suggesting that they have been cross-corrected, i.e., have internalized significant amounts of functionally active hexosaminidase from the NSC-conditioned medium. [D-L] To help determine which neural cell types from the Tay-Sachs brain were cross-corrected, primary dissociated Tay-Sachs neural cells which had been co-cultured in a transwell system with human NSCs (as in [C]) were reacted both with a fluorescein-labeled antibody to the human α -subunit of hexosaminidase [D-F] and with antibodies to neural cell type-specific antigens (visualized by a TR-tagged secondary antibody) [G-I,

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respectively]. Photomicroscopy through a dual filter confirmed co-localization of the α -subunit with the cell-type markers [J-L, respectively]. A subset of these now α -subunit-positive corrected cells [D] were neurons, as indicated by their expression of the neuronal marker NeuN [G,J]; a subset of the α -subunit+ cells [E] were glial, as illustrated by their co-expression of the glial marker GFAP [H,K]; and a subset of the α -subunit+ cells [F] were immature, undifferentiated CNS precursors, as indicated by the presence of the intermediate filament nestin [I,L]. (Untreated cells from a Tay-Sachs brain do not stain for the α -subunit.) [M] Percentage of successfully rescued (i.e., NASBG+) primary Tay-Sachs neural cells as seen in [A-C]. The number of "untreated" Tay-Sachs α -subunit-null cells (-/-) (i.e., unexposed to NSCs) that were NASBG+ (1st histogram) was quite low. (That the percentage is not 0 reflects some low residual hexosaminidase-B activity in mutant cells that is sometimes sufficient enough in some cells to produce a pale pink scoreable cell.) In contrast, among Tay-Sachs neural cells "treated" with secretory products from murine NSCs (C17.2) (2nd histogram), murine NSCs engineered to over-express hexosaminidase (C17.2H) (3rd histogram), or human NSCs (4th histogram), the percentage of cross-corrected, hexosaminidase-containing cells was significantly increased ($p < 0.01$). The NSCs did not significantly differ from each other in their ability to effect this rescue. (NASBG staining of neural cells from a wildtype mouse served as a positive control and were nearly 100% NASBG+, histogram not presented). [N] Complementation of gene product deficiency results in rescue of a pathologic phenotype in mutated neural cells, as illustrated by percentage of Tay-Sachs CNS cells with diminished GM₂ accumulation. Among Tay-Sachs cells not exposed to NSCs (1st histogram), the percentage of GM₂+ cells was large reflecting their pathologically high level of storage and consistent with a lack of enzyme as per [M]. In contrast, the percentage of cross-corrected Tay-Sachs cells without detectable GM₂ storage following exposure to murine (2nd and 3rd histograms, as in [M]) or human NSCs (4th histogram) was significantly lower than in the mutant ($p < 0.01$), approaching that in wildtype (+/+) mouse brain (5th histogram). Again, the NSCs did not significantly differ from each other in their ability to effect this rescue.

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FIGURE 4: Developmentally-appropriate migration of human neural stem cells (NSCs) following engraftment into the subventricular germinal zone (SVZ) of newborn mice. [A,B] Donor-derived human NSCs integrate and intermingle nondisruptively with endogenous progenitors within the host SVZ by 24 hours after transplantation. A representative donor-derived cell with a typical short process (red), highlighted in [A], has interspersed with densely packed endogenous SVZ cells, visualized by DAPI (blue) in the overlapping image in [B]. [C] Two weeks following transplantation, many donor-derived cells (red) have mingled extensively within the subcortical white matter (arrow) and corpus callosum (c) from their site of implantation in the lateral ventricles (LV), as visualized in this coronal section. A representative migrating cell within the subcortical white matter (arrow), visualized at higher magnification in the boxed insert, is noted to have a leading process characteristic of migrating precursor cells. [D,E] As seen in this representative cresyl violet-counterstained parasagittal section, other donor-derived cells migrated from their integration site in the anterior SVZ to enter the rostral migratory stream ("RMS") leading to the olfactory bulb ("OB"). Representative BrdU-immunoperoxidase-positive (brown) donor-derived cells (arrow) within the RMS, are seen at low power in [D] and visualized at higher magnification in [E], intermixed with migrating host cells. Further characterization and visualization of these donor human NSC-derived cells in their final location in the OB are presented in Fig. 5. Scale Bars: 100 μ m.

FIGURE 5: Differentiation and dissemination foreign gene (β -galactosidase) expression of human neural stem cell (NSC) clones *in vivo* following engraftment into the SVZ of developing, neonatal mice. [A-C] Stably engrafted, β -galactosidase (β -gal)-expressing, donor-derived cells from representative human NSC clone H1, detected with Xgal histochemistry [A,B] and with anti- β gal ICC [C]. The donor-derived cells pictured in the series of photomicrographs in [A] are within the periventricular and subcortical white matter regions (as per Fig. 4). (The top and bottom panels -- low power on the left, corresponding high power on the right -- are from representative semi-adjacent regions within a single recipient, suggesting a significant distribution of cells;

arrows indicate the lateral ventricles). Furthermore, as illustrated in [B,C] by representative high power photomicrographs through the olfactory bulb (OB) (located as in Fig. 4D), donor-derived cells from this clone has not only migrated extensively to this developmentally-appropriate site, but continue to express β gal in this distant location (i.e., in a disseminated fashion *in vivo*). The normal fate of a subpopulation of SVZ-derived progenitors that have migrated to the OB at this developmental stage is to become neuronal. In [D-G], donor-derived neurons in the mature OB, derived from BrdU-labeled NSCs (representative clone H6) implanted into the SVZ at birth, are identified by both their immunoreactivity to a human-specific NF antibody [D] as well as their expression of the mature neuronal marker, NeuN [E-G]; under confocal microscopy, a BrdU+ (hence, donor-derived) cell (arrow in [E], fluorescein) is NeuN+ (arrow in [F], Texas Red) appreciated best with a dual filter (arrow in [G]). Adjacent to this representative donor-derived BrdU+/NeuN+ neuron (arrow), are 2 host OB neurons (BrdU-/NeuN+ in [G]) which share in similar size, morphology, and location with the donor-derived cell [arrow in F]. [H,I] High power view of a representative donor-derived (clone H6) oligodendrocyte (arrow), appropriately in the adult subcortical white matter (as per Fig. 4C) following neonatal intraventricular implantation, double-labeled with an antibody to the oligodendrocyte-specific protein CNPase [H] and BrdU [I]. Characteristic cytoplasmic processes extending from the soma are noted (arrowhead in [H]). (The morphology of the CNPase+ cell has been somewhat damaged by the HCl pre-treatment required for BrdU double-labeling.) [J] Mature donor-derived astrocytes (clone H6) in the adult subcortical white matter (arrow) (as per Fig. 4C) and striatum following neonatal intraventricular implantation, identified with a human-specific anti-GFAP antibody. The inset better illustrates at higher magnification the characteristic mature astrocytic morphology of a representative human-GFAP+ cell. [K-Q] Expression of *vmyc* is downregulated within 48 hours following engraftment. [K], [M], and [O] are DAPI-based nuclear stains of the adjacent panels [L], [N], and [P,Q], respectively. Representative human NSC clone H6 was generated (as was the well-characterized murine NSC clone C17.2) with the propagating gene *vmyc*. *Vmyc* immunoreactivity in H6-derived cells (red) in the SVZ (arrows) at 24 hours

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following engraftment ([L] and at higher power in [N]), is persistently absent [P] in integrated H6-derived cells (visualized by BrdU labeling in [Q] (shown here 3 weeks following transplantation, but representative of any point 24 hours after engraftment). Scale Bars: [A], [K] and applies to [L]: 100 μ m; [D], [E] and applies to [F,G], [H] and applies to [I], [J], [M] and applies to [N]: 10 μ m; [O] and applies to [P,Q]: 50 μ m

FIGURE 6: Neuronal replacement by human neural stem cells (NSCs) following transplantation into the cerebellum of the granule neuron-deficient *meander tail (mea)* mouse model of neurodegeneration. [A-G] BrdU-intercalated, donor-derived cells (from representative clone H6) identified in the mature cerebellum by anti-BrdU immunoperoxidase cytochemistry (brown nuclei) following implantation into the neonatal *mea* external germinal layer (EGL). (The EGL, on the cerebellar surface, disappears as the internal granule layer (IGL) emerges to become the deepest cerebellar cortical layer at the end of organogenesis¹³) [A] Clone H6-derived cells are present in the IGL ("igl"; arrowheads) of all lobes of the mature cerebellum in this parasagittal section. (Granule neurons are diminished throughout the cerebellum with some prominence in the anterior lobe). [B] Higher magnification of the representative posterior cerebellar lobe indicated by arrowhead "b" in [A], demonstrating the large number of donor-derived cells present within the recipient IGL. [C-G] Increasing magnifications of donor-derived cells (brown nuclei) within the IGL of a *mea* anterior cerebellar lobe. (Different animal from that in [A,B].) [G] Normarski optics bring out the similarity in size and morphology of the few residual hosts, BrdU-negative cerebellar granule neurons (arrowheads) and a BrdU+, donor-derived neuron (arrow), which is representative of those seen in all engrafted lobes of all animals.) [H,I] Confirmation of the neuronal differentiation of a subpopulation of the donor-derived, BrdU+ cells from [A-G] is illustrated by co-labeling with anti-BrdU [green in H] and the mature neuronal marker NeuN [red in I] (indicated with corresponding arrows). (Some adjacent, donor-derived cells are non-neuronal as indicated by their BrdU+ (arrowhead in [H]) but NeuN-phenotype (also illustrating the specificity of the immunostaining). [J] Cells within

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the IGL are confirmed to be human donor-derived cells by FISH with a human-specific probe (red) identifying human chromosomal centromeres. Scale Bars: [A], [B]: 100 μ m; [F], [G], [J]: 10 μ m

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What we claim is:

1. A genetically modified human neural stem cell maintained as a stable cell line *in-vitro* and suitable for on-demand implantation *in-vivo* into a living host
5 subject, said human neural stem cell comprising:
 - a primordial neural stem cell of human origin which
 - (i) remains uncommitted and undifferentiated while passaged *in-vitro* as a mitotic, self-renewing cell line,
 - (ii) is implantable *in-vivo* as an uncommitted cell,
 - 10 (iii) optionally migrates *in-vivo* after implantation from the implantation site to other anatomic sites for integration within the nervous system of the living host subject,
 - (iv) integrates *in-situ* after implantation into the parenchymal tissues at a local anatomic site in the living host subject, and
 - 15 (v) differentiates *in-situ* after integration into a fundamental cell type selected from the group consisting of neurons, oligodendroglia, and astrocytes; and
 - human genomic DNA which has been genetically modified to include a viral vector carrying at least one DNA segment comprised of a foreign gene coding for
20 a specific protein product.
2. The genetically modified human neural stem cell as recited in claim 1 wherein said viral vector is an amphotropic retroviral viral vector.
- 25 3. The genetically modified human neural stem cell as recited in claim 1 wherein said viral vector includes a exogenous *vmyc* DNA sequence wherein
 - (a) said *vmyc* DNA sequence is highly expressed *in-vitro* causing said human neural stem cell to be in a proliferative cell cycle and rapidly proliferate while maintained *in-vitro*, and

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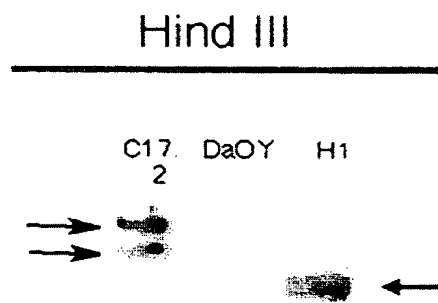
- 37 -

(b) the expression of said *vmyc* DNA sequence becomes controlled and is down-regulated after cell implantation *in-vivo* by the constituent tissues of the living host subject such that the rate of *in-vivo* cell proliferation ceases.

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Fig. 1

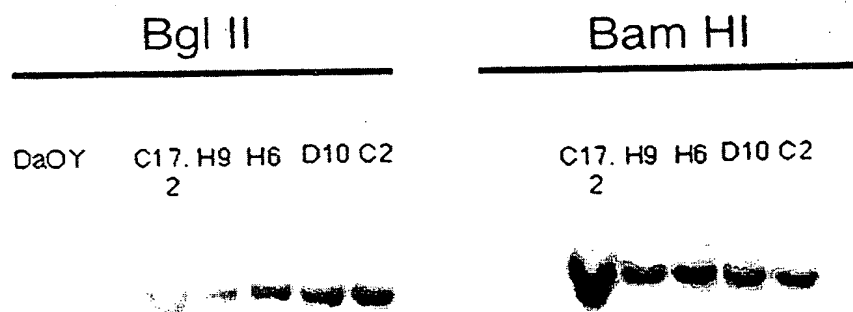
a



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Fig. 1

b



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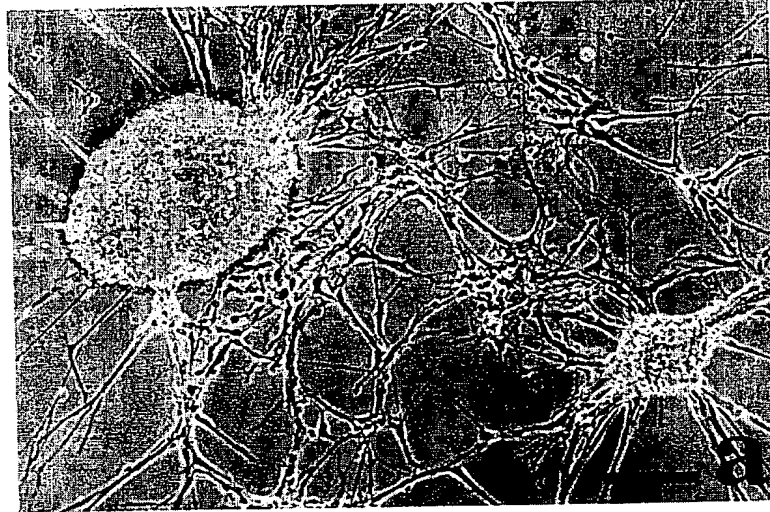
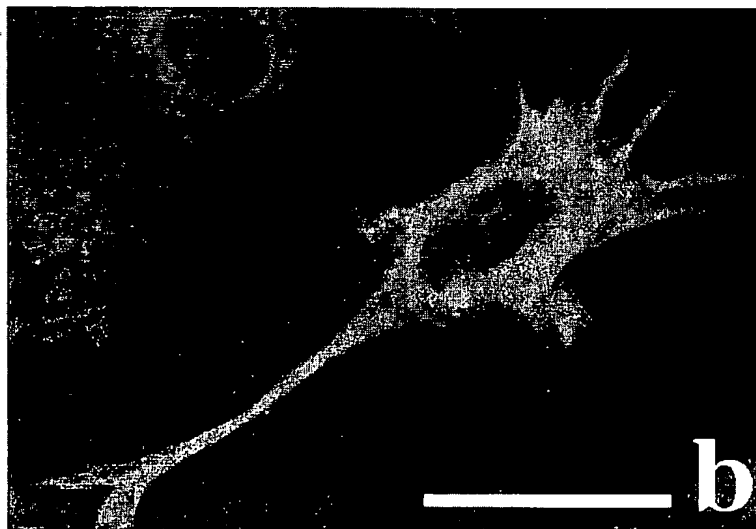


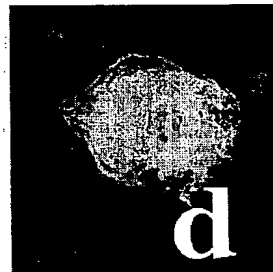
Fig. 2



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Fig. 2



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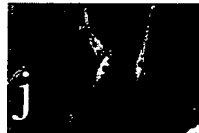
Fig. 3



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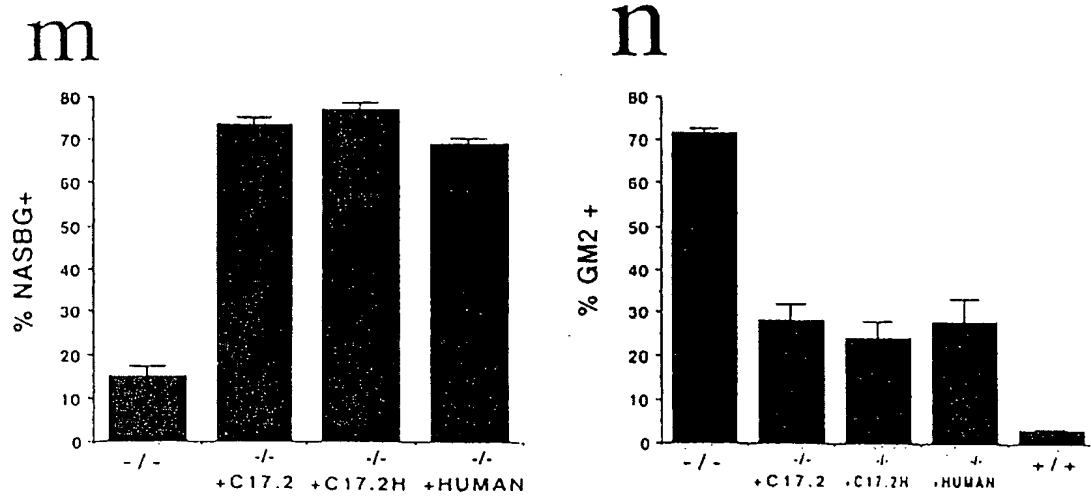


Fig. 3



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Fig. 3



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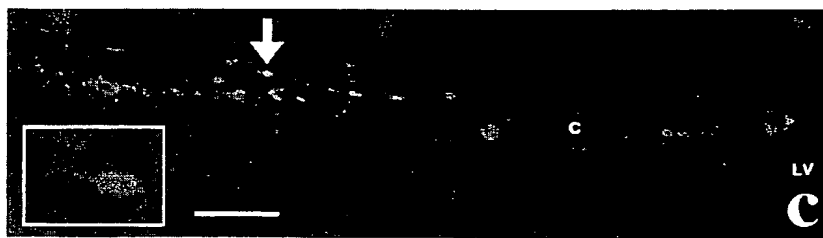
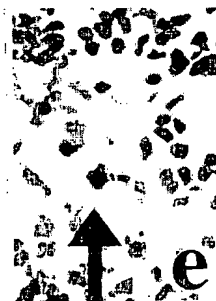
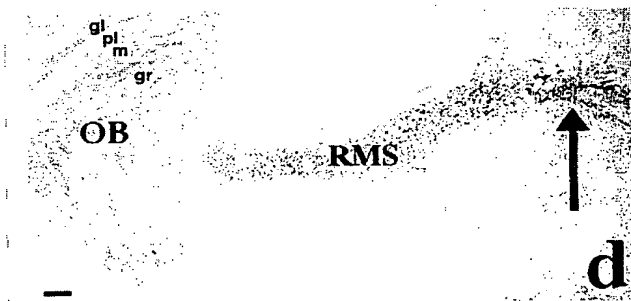


Fig. 4



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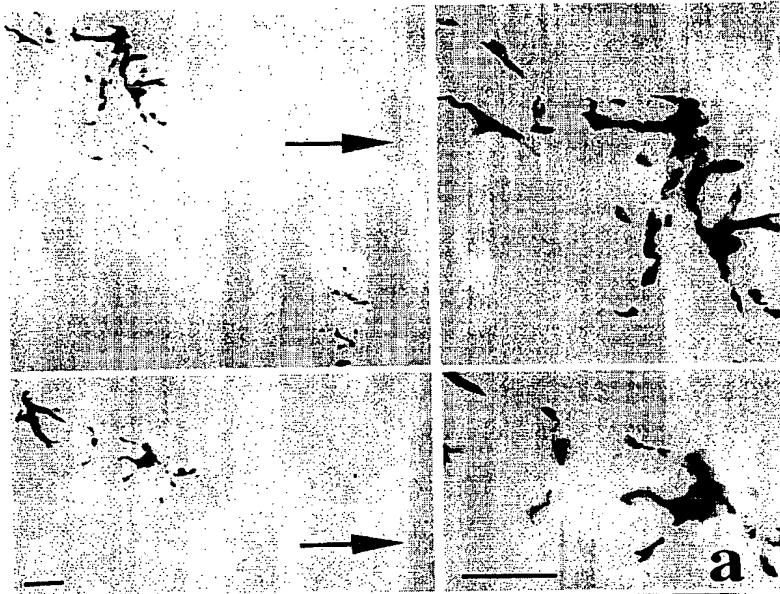
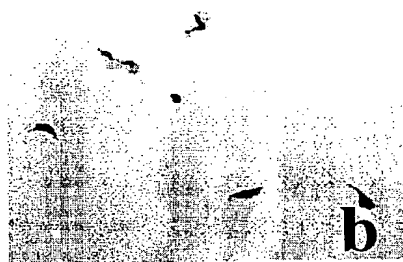


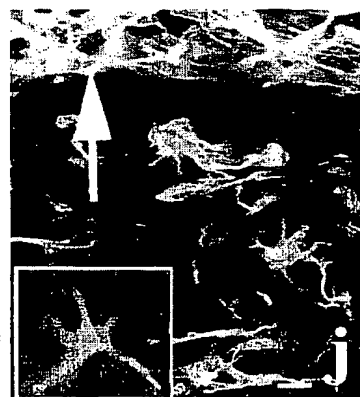
Fig. 5



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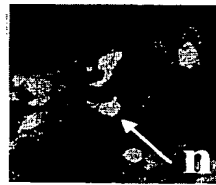
Fig. 5



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Fig. 5



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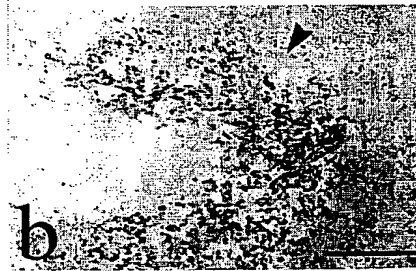
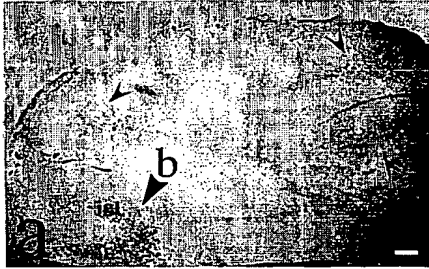
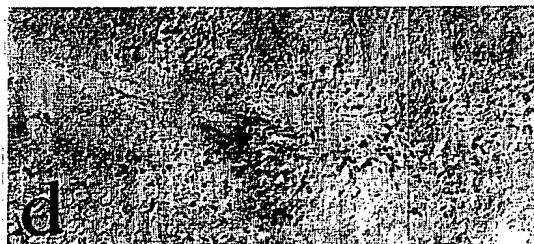
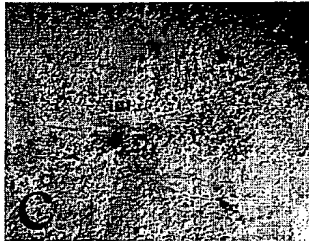


Fig. 6



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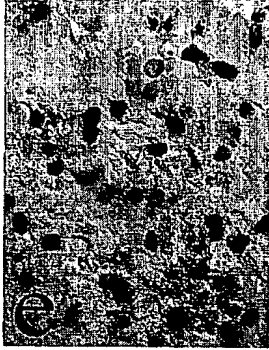
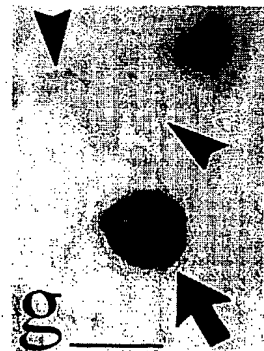


Fig. 6



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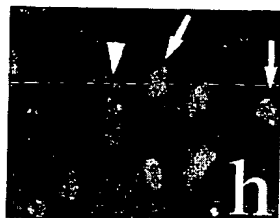
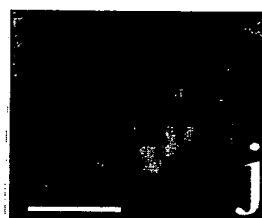


Fig. 6



INTERNATIONAL SEARCH REPORT

International application No.
PCT/US99/17801

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : C12N 5/08, 15/85, 15/86, 15/87

US CL : 435/455, 456, 366, 368

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/455, 456, 366, 368

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
Please See Extra Sheet.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,753,506 A (JOHE) 19 May 1998, see entire document.	1-3
A	US 5,750,376 A (WEISS et al.) 12 May 1998, see entire document.	1-3
X, P	FLAX et al. Engraftable human neural stem cells respond to developmental cues, replace neurons, and express foreign genes. Nature Biotechnology. November 1998, Vol. 16, pages 1033-1039, see entire document.	1-3

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
B earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*G* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search
19 OCTOBER 1999

Date of mailing of the international search report
08 DEC 1999

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231
Facsimile No. (703) 305-3230

Authorized officer

IREM YUCEL

Telephone No. (703) 308-0196

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US99/17801

B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

USPAT, MEDLINE, CAPLUS, STN, CANCERLIT, BIOSIS

Terms: neur?, stem. cell?, progenitor?, immortal?, human?, inventor's names, different?, nerve?